

**Ongoing Disclosure Notice** 

## **Disclosure of Directors and Senior Managers Relevant Interests**

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

To NZX Limited; and	
Name of listed issuer:	
Date this disclosure made:	
Date of last disclosure:	

NZX Limited	-
15-Jun-18	
3-May-17	

## Director or senior manager giving disclosure

Full name(s):
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Benjamin Phillips
NZX Limited
N/A
Head of Markets Development and Clearing

### Summary of acquisition or disposal of relevant interest (excluding specified derivatives)

Class of affected quoted financial products:	NZX Ordinary Shares
Nature of the affected relevant interest(s):	Legal and Beneficial
For that relevant interest-	
Number held in class before acquisition or disposal:	9,198
Number held in class after acquisition or disposal:	9,792
Current registered holder(s):	N/A
Registered holder(s) once transfers are registered:	Benjamin Phillips

#### Details of transactions giving rise to acquisition or disposal

Name of any other party or parties to the transaction (if known):

Total number of transactions to which notice relates:
Details of transactions requiring disclosure-
Date of transaction:

15-Jun-18
Grant of \$1,000 (gross) of ordinary shares in NZX, for no consideration
and credited as fully paid, to

employees of NZX Limited.

N/A

594

One

No consideration

Number of financial products to which the transaction related: If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details-

The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily by converted into a

Whether relevant interests were acquired or disposed of during a closed period: Whether prior written clearance was provided to allow the acquisition or disposal to proceed during the closed period: Date of the prior written clearance (if any):

No
N/A
N/A

## Summary of other relevant interests after acquisition or disposal:

Class of quoted financial products:

cash value, describe the consideration:

Nature of relevant interest:

For that relevant interest,-

Number held in class:

Nature of transaction:

NZX non-participating redeemable shares (NZXTRP)

Beneficial

67,556

Current registered holder(s):

NZX Executive Share Plan Nominee Limited

## Certification

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.

Signature of director or officer:

Date of signature:

Signature of person authorised to sign on behalf of director or officer:

Date of signature:

Name and title of authorised person:

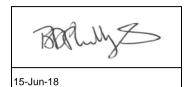
## Notes

or

Use this form to disclose all the acquisitions and disposals by a director or senior manager of a listed issuer, or of a related body corporate, or in specified derivatives. The disclosure must be made within—

(a) 20 working days after the first acquisition or disposal disclosed in this notice if the acquisitions or disposals are of a kind referred to in section 297(2)(a) of the Financial Markets Conduct Act 2013; or

(b) in any other case, 5 trading days after the first acquisition or disposal disclosed in this notice.





**Ongoing Disclosure Notice** 

# **Disclosure of Directors and Senior Managers Relevant Interests**

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

To NZX Limited; and	
Name of listed issuer:	
Date this disclosure made:	
Date of last disclosure:	

NZX Limited	
15-Jun-18	
3-May-17	

# Director or senior manager giving disclosure

Full name(s):
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Benjamin Phillips
NZX Limited
N/A
Head of Markets Development and Clearing

### Summary of acquisition or disposal of relevant interest (excluding specified derivatives)

Class of affected quoted financial products:	NZX Ordinary Shares
Nature of the affected relevant interest(s):	Legal and Beneficial
For that relevant interest-	
Number held in class before acquisition or disposal:	630
Number held in class after acquisition or disposal:	9,198
Current registered holder(s):	Benjamin Phillips
Registered holder(s) once transfers are registered:	Benjamin Phillips

# Details of transactions giving rise to acquisition or disposal

Total number of transactions to which notice relates:	One
Details of transactions requiring disclosure-	
Date of transaction:	29-Mar-18
Nature of transaction:	Issue of NZX ordinary shares under employee share scheme
Name of any other party or parties to the transaction (if known):	N/A
The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily by converted into a cash value, describe the consideration:	\$10,000.57
Number of financial products to which the transaction related:	8,568
If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period)	

N/A	

Summary of other relevant interests after acquisition or disposal:

Whether relevant interests were acquired or disposed of during a closed period: Whether prior written clearance was provided to allow the acquisition or disposal to

> NZX non-participating redeemable shares (NZXTRP) Beneficial 67,556

Class of quoted financial products:

Nature of relevant interest:

include the following details-

proceed during the closed period: Date of the prior written clearance (if any):

For that relevant interest,-

Number held in class:

Current registered holder(s):

NZX Executive Share Plan Nominee Limited

## Certification

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.

Signature of director or officer:

Date of signature:

Date of signature:

or

Signature of person authorised to sign on behalf of director or officer:

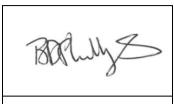
Name and title of authorised person:

### Notes

Use this form to disclose all the acquisitions and disposals by a director or senior manager of a listed issuer, or of a related body corporate, or in specified derivatives. The disclosure must be made within—

(a) 20 working days after the first acquisition or disposal disclosed in this notice if the acquisitions or disposals are of a kind referred to in section 297(2)(a) of the Financial Markets Conduct Act 2013; or

(b) in any other case, 5 trading days after the first acquisition or disposal disclosed in this notice.



15-Jun-18